

<b>Interview Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/010,993	LYNCH, GERARD ALAN	
	<b>Examiner</b>	<b>Art Unit</b>	
	Mark Fadok	3625	

All participants (applicant, applicant's representative, PTO personnel):

(1) Mark Fadok (3) \_\_\_\_\_

(2) Mr. Anthony B. Diepenbrock III (4) \_\_\_\_\_

Date of Interview: 16 March 2007.

Type: a) ☒ Telephonic b) ☐ Video Conference  
c) ☐ Personal [copy given to: 1) ☐ applicant 2) ☒ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No.

If Yes, brief description: \_\_\_\_\_

Claim(s) discussed: 1.

Identification of prior art discussed: Auctiva.

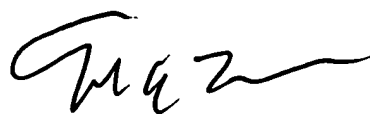
Agreement with respect to the claims f) ☐ was reached. g) ☒ was not reached. h) ☐ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: See Continuation Sheet.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.



Examiner's signature, if required

## Summary of Record of Interview Requirements

### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

#### Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

#### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,  
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

### Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Mr. Diepenbrock provided the attached informal amendment as a result of an earlier interview with the examiner. After receiving the amendment the examiner conducted and Non Patent Literature search the uncovered the Auctiva reference that is used in the current rejection. Mr. Diepenbrock indicated that the reference was not prior art since the instant application claims priority to an earlier application. The examiner has reviewed these earlier references and concludes that they do not support the instant claims. Therefore, the Auctiva reference is considered valid.

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**FAX TRANSMISSION SHEET****DATE** March 16, 2007

<b>TO</b>	Examiner Fadok USPTO	<b>TEL</b>	571.272.6755
		<b>FAX</b>	571.273.6755

<b>FROM</b>	Anthony B. Diepenbrock III Counsel	<b>TEL</b>	+1 650 813 4856
<b>EMAIL</b>	anthony.diepenbrock@dechert.com	<b>FAX</b>	+1 650 813 4848

**CLIENT** 372499  
**MATTER** 00102 (336994)

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**MESSAGE**

**Re: Application Serial No. 10/010,993**

**Dear Examiner Fadok:**

**Attached is a copy of the claims as discussed.**

**Please call with any questions or comments.**

**~Anthony B. Diepenbrock III**

1. (Currently Amended) A method of delivering, in the form of selectable images, a plurality of auction items of a selected seller to a browser of a client computer system, comprising:

receiving, at an auxiliary server, a request from a client browser for a main program in response to [after] the client browser [has obtained]obtaining an item document of a particular seller from an auction site and the browser displaying a particular portion of said item document; and

delivering the main program to the requesting client browser, the main program being configured to receive, from the client browser, information [sufficient]to select the particular seller, and to load and activate one or more modules including a picture displaying module for displaying selectable images, the picture displaying module being configured to obtain a set of selectable images of the selected seller's auction items based on the received seller specific information, to moveably display, on the client browser, a set of selectable images, showing the selected seller's auction items, and to receive a user selection of a selectable image being displayed and to redirect the client browser to an auction item document at an auction site associated with the selected image; and

sending the set of selectable images, based on the received [seller specific ]information that selects the particular seller, to the browser.

2. (Original) A method of delivering, in the form of selectable images, a plurality of auction items of a selected seller to a client browser as recited in claim 1, wherein the step of receiving a request from a client browser for a main program includes receiving the request from an auction item document obtained from an auction site.

3. (Previously Amended) A method of delivering, in the form of selectable images, a plurality of auction items of a selected seller to a client browser as recited in claim 1, wherein the set of selectable images is displayed on the auction item document obtained from the auction site.

4. (Previously Amended) A method of delivering, in the form of selectable images, a plurality of auction items of a selected seller to a client browser as recited in claim 1,

wherein the picture displaying module is configured to moveably display the set of selectable images at a controllable speed; and

wherein the controllable speed is controlled by a current position of a pointing device connected to the client computer system.

5. (Previously Amended) A method of delivering, in the form of selectable images, a plurality of auction items of a selected seller to a client browser as recited in claim 1,

wherein the client computer system includes a display output device and the client browser occupies a graphical window on display output device; and

wherein the picture displaying module is configured to moveably display the set of selectable images horizontally in the client browser graphical window.

6. (Previously Amended) A method of delivering, in the form of selectable images, a plurality of auction items of a selected seller to a client browser as recited in claim 1, wherein the main program is configured to load and activate a categories module that maintains a set of categories available on the auction site from the specified seller, said categories module initially obtaining the set of categories of the specified seller from the auction site and thereafter obtaining the set of categories from the auxiliary server.

7. (Original) A method of delivering, in the form of selectable images, a plurality of auction items of a selected seller to a client browser as recited in claim 6, wherein the set of categories available on the auction site from the specified seller is stored on the auxiliary server.

8. (Previously presented) A method of delivering, in the form of selectable images, a plurality of auction items of a selected seller to a client browser as recited in claim 6, wherein the main program is configured to load and activate an item links module that maintains a set of item links for each category in the set of categories available on the auction site from the specified seller.

9. (Original) A method of delivering, in the form of selectable images, a plurality of auction items of a selected seller to a client browser as recited in claim 8, wherein the set of item links available on the auction site from the specified seller is stored on the auxiliary server.

10. (Original) A method of delivering, in the form of selectable images, a plurality of auction items of a selected seller to a client browser as recited in claim 9, wherein the set of item links includes an item name associated with each item link.